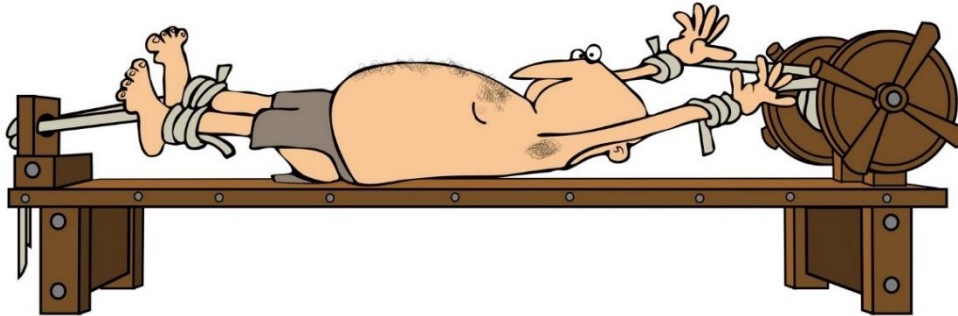


## Are You on the RAQ... Again?



Bulletin

April 2016



You may have received an advance notice from the OSC about its interactive **2016 Risk Assessment Questionnaire (RAQ)**, which you will need to submit via a dedicated url by **June 29, 2016**. The OSC uses this risk ranking as one of its main tools when determining which firms to audit.

You may recall [our previous bulletin](#) alerting you to the 2014 RAQ. If you would like our help to complete this year's questionnaire – please [call us!](#)

AUM Law primarily serves the asset management sector, with specific expertise in the regulatory and investment fund space. We strive to provide the most practical, forward-thinking advice and services, using a business model geared to efficiency, responsiveness and client service excellence. We are pleased to send you this recent development that may affect your business.



This bulletin is an overview only and it does not constitute legal advice. It is not intended to be a complete statement of the law or an opinion on any matter. No one should act upon the information in this bulletin without a thorough examination of the law as applied to the facts of a specific situation.